

11-10-04

2648#

+ 41

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0654-0034

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | | |
|---|--|------------------------|-------------------|
| TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application) | | Application Number | 09/311,188 |
| | | Filing Date | May 13, 1999 |
| | | First Named Inventor | Deborah L. Pinard |
| | | Group Art Unit Number | 2645 |
| | | Examiner Name | Simon P. Sing |
| Total Number of Pages in This Submission | | Attorney Docket Number | 3988 |

RECEIVED

NOV 12 2004

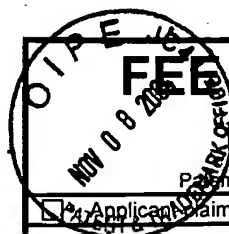
Technology Center 2600

| ENCLOSURES (check all that apply) | |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input type="checkbox"/> Response to Notice to File Missing Parts | <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Declaration | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input type="checkbox"/> Application Data Sheet | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> |
| <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> |
| <input type="checkbox"/> Request for Correction of Recorded Assignment | <input type="checkbox"/> |
| <input checked="" type="checkbox"/> Response and Amendment: 18 Page(s) <input type="checkbox"/> After Final | <input type="checkbox"/> |
| <input type="checkbox"/> Status Request | <input type="checkbox"/> |
| <input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> |

REMARKS:

| SIGNATURE OF ATTORNEY OR AGENT | | |
|--------------------------------|----------------------------------|----------------|
| Signature: | | |
| Attorney/Reg. No.: | Albert C. Smith, Reg. No. 20,355 | Dated: 11/8/04 |

| CERTIFICATE OF MAILING | | |
|--|-----------------|----------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. | | |
| Signature: | | |
| Typed or Printed Name: | Albert C. Smith | Dated: 11/8/04 |
| Express Mail Mailing Number (optional): | EV 542673911US | |



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 430.00

Complete if Known

Application Number 09/311,188
Filing Date May 13, 1999
First Named Inventor Deborah L. Pinard
Examiner Name Simon P. Sing
Art Unit 2645
Attorney Docket No. 3988

RECEIVED

NOV 12 2004

Technology Center 2600

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 1001 | 790 | 2001 | 395 | Utility filing fee | |
| 1002 | 350 | 2002 | 175 | Design filing fee | |
| 1003 | 550 | 2003 | 275 | Plant filing fee | |
| 1004 | 790 | 2004 | 395 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 26 | -26**= 0 | 18 | 0 |
| Independent Claims | 7 | -7**= 0 | 88 |
| Multiple Dependent | | | |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|---|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 88 | 2201 | 44 | Independent claims in excess of 3 |
| 1203 | 300 | 2203 | 150 | Multiple dependent claim, if not paid |
| 1204 | 88 | 2204 | 44 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 1051 | 2051 | Surcharge - late filing fee or oath or declaration | |
| 1052 | 2052 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 2053 | Non-English specification | |
| 1812 | 2520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | Extension for reply within first month | |
| 1252 | 2252 | Extension for reply within second month | 430 ✓ |
| 1253 | 2253 | Extension for reply within third month | |
| 1254 | 2254 | Extension for reply within fourth month | |
| 1255 | 2255 | Extension for reply within fifth month | |
| 1401 | 2401 | Notice of Appeal | |
| 1402 | 2402 | Filing a brief in support of an appeal | |
| 1403 | 2403 | Request for oral hearing | |
| 1451 | 2451 | Petition to institute a public use proceeding | |
| 1452 | 2452 | Petition to revive - unavoidable | |
| 1453 | 2453 | Petition to revive - unintentional | |
| 1501 | 2501 | Utility issue fee (or reissue) | |
| 1502 | 2502 | Design issue fee | |
| 1503 | 2503 | Plant issue fee | |
| 1460 | 2460 | Petitions to the Director | |
| 1807 | 2807 | Processing fee for Provisional Applications | |
| 1806 | 2806 | Submission of Information Disclosure Stmt | |
| 8021 | 28021 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 2809 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 2810 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 2801 | Request for Continued Examination (RCE) | |
| 1802 | 2802 | Request for expedited examination of a design application | |

Other fee (specify) _____

SUBTOTAL (3) (\$) 430

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) Albert C. Smith

Registration No. (Attorney/Agent) 20,355

Complete (if applicable)

Telephone (650) 335-7296

Signature

A. C. Smith

Date

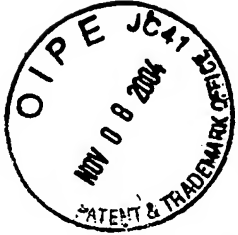
11/8/04

11/12/2004 HALI11 00000073 09311188

21900/03988/DOCS/1479021.1

01 FC:1252

430.00 OP



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT: Deborah L. Pinard
APPLICATION NO.: 09/311,188
FILING DATE: May 13, 1999
TITLE: 3D View of Incoming Communications
EXAMINER: Simon P. Sing
GROUP ART UNIT: 2645
ATTY. DKT. NO.: 3988

RECEIVED
NOV 12 2004
Technology Center 2600

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail # EV 542673911US in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date shown below:

Dated: 11/8/04

By: *A.C. Smith*

Albert C. Smith, Reg. No.: 20,355

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450

RESPONSE AND AMENDMENT

Sir:

This is in response to the outstanding Official Action dated June 7, 2004
(Examiner's Paper No. 15) issued in respect of the above-identified application.

Please amend the application in the following manner:

Amendments to the Claims are reflected in the listing of claims which begins
on page 2 of this Amendment.

Remarks/Arguments begin on page 10 of this Amendment.